

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.

Date of Signature

and Deposit:

January 28, 2002

John T. Pienkos, Reg. No. 42,997

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Application No.:

Filed:

For:

Richard D. Harris

09/842,975

April 26, 2001

METHOD FOR FABRICATING AN ISOLATED  
MICROELECTROMECHANICAL SYSTEM  
(MEMS) DEVICE INCORPORATING A WAFER  
LEVEL CAP

2811

Group Art Unit:

Examiner:

Atty. Docket No.:

110003.97397

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 AND 1.98

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This paper is being presented for filing in the above case pursuant to Rules 97 and 98 of the Rules of Practice. A Form PTO-1449 "Information Disclosure Statement by Applicant" is attached which lists the references cited and copies of the references are enclosed.

No additional fees for filing this paper are believed to be due. However, the Commissioner is hereby authorized to charge any additional fees due or to credit any overpayment to deposit account no. 17-0055.

Respectfully submitted,

John T. Pienkos

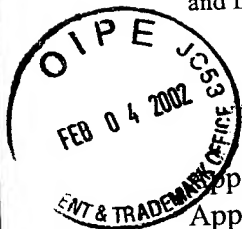
Reg. No. 42,997

Quarles & Brady LLP

411 East Wisconsin Avenue

Milwaukee, WI 53202-4497

(414) 277-5777



RECEIVED  
FEB - 6 2002  
1C 2800 MAIL ROOM



Please type a plus sign (+) inside this box → ☐

PTO/SB/08B (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 4 of 4		<b>Complete if Known</b>	
		Application Number	09/842,975
		Filing Date	April 26, 2001
		First Named Inventor	Richard D. Harris
		Group Art Unit	2811
		Examiner Name	
		Attorney Docket Number	110003.97397

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1	U.S. Patent Application No.: 09/406,654, filed on September 27, 1999.
	2	U.S. Patent Application No.: 09/400,125, filed on September 21, 1999.
	3	U.S. Patent Application No.: 09/406,509, filed on September 28, 1999.
	4	U.S. Patent Application No.: 09/955,493, filed on September 18, 2001.
	5	U. S. Patent Application No.: 09/955,494, filed on September 18, 2001.
	6	U.S. Patent Application No.: 09/675,861, filed on September 29, 2000.

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

[110003.97397]